

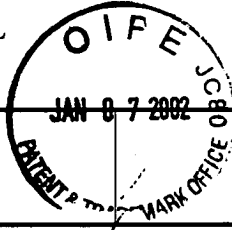
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. **1732**
1732
6-702
1665

In Re Application Of: **HERZBACH, L., ET AL**

Serial No.
09/902,329

Filing Date
07/10/2001



Examiner

Group Art Unit

Title:

METHOD FOR MAKING THERMALLY STRESSED FORMING TOOL WITH COOLING DUCTS...

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

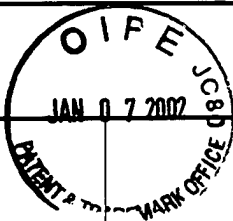
- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1665

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Title:

METHOD FOR MAKING THERMALLY STRESSED FORMING TOOL WITH COOLING DUCTS...

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-4675 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

_____ on _____
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

***This certificate may only be used if paying by deposit account.**

Signature

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited ~~DEC.~~ **DEC. 14, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

MICHAEL J. STRIKER

Typed or Printed Name of Person Mailing Correspondence

Dated: **DECEMBER 14, 2001**

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CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group:

Attorney Docket # 1665

Applicant(s) : HERZBACH, L., ET AL

Serial No. : 09/902,329

Filed : 07/10/2001

For : METHOD FOR MAKING THERMALLY STRESSED
FORMING TOOL WITH COOLING DUCTS AND...

INFORMATION DISCLOSURE STATEMENT

December 14, 2001

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

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S I R S:

X In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of a Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.

X In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.

X Attached hereto is a FORM PTO 1449 listing the references.

Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents.

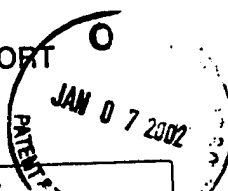
Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

page 2 of 2

- _____ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- _____ Attached hereto is a copy of the Office Action issued in the corresponding German application, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- _____ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the references is also attached.
- _____ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- _____ The relevancy of each reference can be found in the English language Abstract attached thereto

Respectfully submitted,


Michael J. Striker
Attorney for Applicant(s)
Reg. No. 27233



DOCUMENTS CONSIDERED TO BE RELEVANT

| DOCUMENTS CONSIDERED TO BE RELEVANT | | | |
|--|---|-------------------|--|
| Category | Citation of document with indication, where appropriate, of relevant passages | Relevant to claim | CLASSIFICATION OF THE APPLICATION (Int.CI.7) |
| | | | |
| | | | TECHNICAL FIELDS SEARCHED (Int.CI.7) |
| | | | |
| The present search report has been drawn up for all claims | | | |
| Place of search | Date of completion of the search | Examiner | |

CATEGORY OF CITED DOCUMENTS

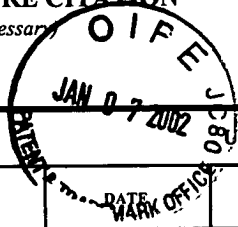
X : particularly relevant if taken alone
Y : particularly relevant if combined with another document of the same category
A : technological background
O : non-written disclosure
P : intermediate document

T : theory or principle underlying the invention
E : earlier patent document, but published on, or after the filing date
D : document cited in the application
L : document cited for other reasons

& : member of the same patent family, corresponding document

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

1665

Application Number

09/902,329

Applicant(s)

HERZBACH, L., ET AL

Filing Date

07/10/2001

Group Art Unit

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE MARK OFFICE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|---------------------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | 0 034 330 A | 08/26/81 | EP | | | | ✓ |
| | | 58929 65 A | 11/17/66 | AU | | | ✓ | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.